Appendix to "The Cost of Recovering Uranium from Seawater by a Braided Polymer Adsorbent System"

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This appendix contains the process flow diagrams and details of the cost estimation methodology and calculations for recovering uranium from seawater using amidoxime adsorbents, as proposed by the Japan Atomic Energy Agency (JAEA). It accompanies the article at the publisher's web-site and is also posted at http://scienceandglobalsecurity.org/archive/2013/06/the_cost_of_recovering_uranium.html

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Appendix A. Process Flow Diagrams

Figure A.1. Process Flow Diagram - Melt Spinning and Irradiation

| Equipment | | | |
|---|------------------------------|--|--|
| ID | Equipment Type | Description | |
| А | Single Screw Extruder | Melt and mix HDPE pellets for subsequent spinning steps | |
| В | Feed Pump | Meter and dispense polyethylene melt | |
| С | Filter | Remove impurities and residual solids in melt | |
| D | Spinneret | Arranged in manifold to receive portion of extruder feed; extrudes fibers from melt feed via holes in spinneret head | |
| Е | Air Quench Unit | Cools and crystallizes fibers | |
| F | Godet | Works in tandem with take up roll to draw fiber to final length and wind for final processing | |
| G | Final Take-Up | Final fiber winding | |
| H | Belt Conveyor | Moves fiber spools from spinning line to e-beam accelerators | |
| Ι | Electron Beam Accelerator | Irradiates HDPE trunk polymer to generate free radicals for polymerization | |
| J | Belt Conveyor | Moves irradiated fibers on bobbins to grafting area | |
| | | Streams | |
| ID | Components | Description | |
| 1 | HDPE Pellets | Bulk HDPE pellets | |
| 2 | HDPE Melt | HDPE melt at 170°C to 190°C | |
| 3 | Pressurized HDPE Melt | HDPE melt at high pressure for spinning | |
| 4 | Pressurized HDPE Melt | HDPE melt with impurities and solids removed | |
| 5 | HDPE Melt | Individual streams of HDPE melt formed by spinneret | |
| 6 | Crystallized HDPE fibers | Cooled fibers formed by extrusion and cooling | |
| 7 | HDPE Fibers | Fibers drawn down to final diameter and length | |
| 8 | Irradiated Fibers | Fibers with free radicals from e-beam irradiation | |
| Total Major Process Steps*4 | | 4 | |
| *Major Process Steps are Extrusion (A), Spinning (B-D), Cooling and Take-Up (E-G) and Irradiation (I) | | | |

Table A.1. Equipment and Stream Table for Melt Spinning and Irradiation Process Flow Diagram



Figure A.2. Process Flow Diagram - Graffing and Braiding

| Equipment | | | |
|--|-------------------------------|---|--|
| ID | Equipment Type | Description | |
| К | Belt Conveyor | Carry irradiated multifilament bundles to chemical grafting step | |
| L | Jacketed Stirred Reactor | Grafting of amidoxime groups onto free radical sites of HDPE fibers | |
| Μ | Belt Conveyor | Carry amidoxime fibers to braiders for final processing | |
| Ν | Fiber Braider | Braid 4 multifilament bundles around hollow core (float) | |
| 0 | Belt Conveyor | Transport finished braid adsorbent for loading/transport to sea | |
| etc. | Storage Tanks | 30 day bulk chemical storage | |
| | | Streams | |
| ID | Components | Description | |
| 8 | HDPE fibers | 50,000 tonnes/year of irradiated HDPE from e-beam | |
| 9 | 5% Sodium Dodecyl Sulfate | Surfactant solution to stabilize emulsion during grafting | |
| 10 | 30% Acrylonitrile Solution | Monomer that grafts onto free radical sites on polymer backbone | |
| 11 | Dimethylformamide | Solvent wash to remove unreacted monomer in reactor | |
| 12 | 3% Hydroxylamine | Converts cyano group of grafted monomer into amidoxime group | |
| 13 | 1:1 Methanol-Water | Disperses hydroxylamine during final grafting reaction step | |
| 14 | Wash Solution | Unused/Unreacted chemicals from grafting reactors | |
| 15 | Amidoxime Fibers | Amidoxime-grafted fiber adsorbent | |
| 16 | Braid Adsorbent | Final braided adsorbent formed from 4 multifilament bundles | |
| Total N | Total Major Process Steps 2 | | |
| *Major Process Steps are Grafting (L) and Braiding (N) | | | |

Table A.2. Equipment and Stream Table for Grafting and Braiding Process Flow Diagram



Figure A.3. Process Flow Diagram - Elution

| Equipment | | | |
|--|--------------------------------------|--|--|
| ID | Equipment Type | Description | |
| А | Belt Conveyor | Carry loaded adsorbent to refining processes | |
| В | Agitated Tank | HCl Elution to remove Alkali/Alkali Earth Metals | |
| С | Belt Conveyor | Move adsorbent to second elution step | |
| D | Agitated Tank | HNO3 Elution to selectively remove Uranium | |
| Е | Belt Conveyor | Move adsorbent to wash step | |
| F | Agitated Tank | Regenerate adsorbent with alkali solution (Unclear if needed) | |
| etc | Storage Tanks | HCl, HNO3, and NaOH | |
| | Streams | | |
| ID | Components | Description | |
| 1 | Adsorbent, uranium, other metals | 600,000 t/yr adsorbent + 1200 t/yr of recovered U + other metals | |
| 2 | 0.01 M HCl | Removes Alkali/Alkali Earth Metals | |
| 3 | 3 Eluted Adsorbent | | |
| 4 | Alkali/Alkali Earth Metals in HCl | | |
| 5 | 0.1 M Nitric Acid | Selectively elute uranium to form uranyl nitrate solution | |
| 6 | Regenerated Adsorbent | | |
| 7 | Sodium Hydroxide | Regenerate adsorbent with alkali solution | |
| 8 | Regenerated Adsorbent | Return adsorbent for deployment | |
| 9 | Crude uranyl nitrate | Uranyl nitrate with impurities | |
| Tota | Total Major Process Steps 3 | | |
| *Major Process Steps are Elution (B), Elution (D) and Adsorbent Wash (F) | | | |

Table A.3. Equipment and Stream Table for Elution Process Flow Diagram



Figure A.4. Process Flow Diagram - Precipitation

| Equipment | | | |
|---|---|---|--|
| ID | Equipment Type | Equipment Type Description | |
| G | Storage Tanks | Inventory/Control of eluted uranyl nitrate | |
| Η | Agitated Tank | Precipitate Crude ADU in stirred tank with Ammonia | |
| Ι | Thickener | Remove excess liquid | |
| J | Centrifuge | Concentrate solid ADU | |
| Κ | Belt Conveyor | Make-Up/Feed Chemicals (HCl, HNO3, NH3) | |
| L | Dryer | Dry ADU for final storage/transport | |
| М | Belt Conveyor | Move crude ADU to purification or pure ADU to final storage | |
| | | Streams | |
| ID | Components | Description | |
| 9 | Uranyl Nitrate | Uranyl Nitrate from elution or purification | |
| 10 | Ammonia | Ammonia to precipitate ADU | |
| 11 | 1 Ammonium Diuranate (ADU) Crude or purified ADU | | |
| 12 | Ammonium Nitrate | Waste from precipitation; to raffinate treatment area | |
| 13 | ADU | Thickened ADU | |
| 14 | Recycled Solution | Low mass phase from centrifuge | |
| 15 | ADU | | |
| 16 | ADU | Dried ADU | |
| Total Major Process Steps 8 | | | |
| Major Process Steps are Precipitation (H), Thickening (I), Centrifuge (J) and Drying (L) * Two precipitation areas | | | |

Table A.4. Equipment and Stream Table for Precipitation Process Flow Diagram



Figure A.5. Process Flow Diagram – Purification (Fernald Refinery)¹

| PFD Table | | |
|-----------|-----------------------------|---|
| Equipment | | |
| ID | Equipment Type | Description |
| N | Agitated Tank | Dissolve ADU in nitric acid for purification |
| 0 | Mixer-Settler | Separate raffinate from recoverable organic solvent |
| Р | Pulsed Column | Primary extraction column |
| Q | Pulsed Column | Scrubs impurities from organic phase |
| R | Pulsed Column | Strip uranium into aqueous phase for final processing |
| S | Multiple | Area to remove entrained TBP and remove waste streams |
| Т | Multiple | Wash Solvent |
| U | Filter | Storage/Inventory for organic solvent |
| etc | Storage Tanks | DI Water, Sodium Carbonate, and TBP/Kerosene |
| Streams | | |
| ID | Components | Description |
| 16 | Crude Ammonium Diuranate | Precipitated ADU after elution |
| 17 | Aqueous (HNO3) | 55 wt% Nitric Acid |
| 18 | Recovered HNO3 | From Acid Recovery Area |
| 19 | Uranyl Nitrate Solution | Crude Uranyl Nitrate |
| 20 | Organic (TBP/Kerosene) | |
| 21 | Aqueous with Uranium | |
| 22 | Stripped Aqueous | |
| 23 | Organic with Uranium | |
| 24 | Organic with Uranium | Impurities scrubbed by Aqueous Stream |
| 25 | Aqueous with Uranium | |
| 25-b | Aqueous with Uranium | Main Product Recovery Stream |
| 26 | Aqueous Raffinate | To Raffinate area for treatment |
| 27 | Deionized Water | Stripping Agent |
| 28 | Stripped Organic | Contains impurities such as dibutyl phosphate |
| 29 | Waste Stream | Waste to Sump for recovery/disposal |
| 30 | Sodium Carbonate | Solution to clean solvent |
| 31 | Organic (TBP/Kerosene) | |
| 32 | Organic (TBP/Kerosene) | Fresh TBP/Kerosene to make-up for losses |

Table A.5. Equipment and Stream Table for Purification Process Flow Diagram

| 33 | Organic (TBP/Kerosene) | | |
|----------------|--|--|--|
| 34 | Organic (TBP/Kerosene) | Recovered organic solvent from product/waste streams | |
| 35 | Purified Uranyl Nitrate | Product of solvent extraction area - to precipitation for final processing | |
| 36 | Organic (TBP/Kerosene) | Main Organic feed for extraction | |
| 37 | Aqueous/Organic Mix | Residual from primary extraction | |
| 38 | Aqueous Raffinate | To Raffinate area for treatment | |
| Total Proce | Total Equipment Count/Major Process Steps* 13 | | |
| *Inclu PFD | *Includes Raffinate Treatment, Sump Recovery, and Nitric Acid Recovery not included in PFD | | |

Appendix B. Detailed Cost Estimation Methodology and Calculations

B.1. CODE OF ACCOUNTS AND IMPLEMENTATION

Table B.1 is a generic code of accounts (COA) adapted from the EMWG framework that will be used for capital cost estimation for this analysis. Table B.2 reflects the COA for annualized operating and maintenance costs (O&M) and financial costs.

| EMWG Acct # | Account Title | Description |
|----------------|---|---|
| 1 | Capitalized Pre-construction Costs (Subtotal) | |
| 10 series | | |
| 11 | Land and land rights | Purchase of new land including land rights |
| 12 | Site permits | Site related permits required for construction of the permanent plant |
| 13 | Plant licensing | Plant licenses for construction and operation |
| 14 | Plant permits | Permits for operating and construction |
| 15 | Plant studies | Studies for site or plant in support of construction or operation |
| 16 | Plant reports | Production of major reports such as environmental impact statement or safety analysis |
| 17 | Other Pre-Construction Costs | Incurred by owner prior to construction such as public awareness, remediation, etc. |
| 19 | Contingency on Pre- Construction Costs | Additional cost to achieve desired confidence to prevent pre-construction cost over-run |
| 2 | Capitalized Direct Costs (Subtotal) | |
| 20 series | | |
| 21 | Structures and Improvements | Civil work and structures, primarily buildings |
| 23 | Process Equipment | All process equipment and systems associated with plant output |
| 24 | Electrical equipment | All equipment required for electric service to plant and process equipment |
| 25 | Heat Rejection System | Includes equipment such as water pumps, recirculation pumps, valves, cooling towers, etc. |

Table B.1. Modified COA for Capital Cost Estimation²

| 26 | Miscellaneous plant | Any aquinment not covered shows |
|------------|---|--|
| 20 | Special materials | Materials needed prior to start-up |
| 29 | Contingency on Direct Costs | Additional cost to achieve desired |
| Sum 1-2 | TO | TAL DIRECT COST |
| Julii 12 | | |
| 3 | Capitalized | Indirect Services (Subtotal) |
| 30 series | | |
| 31 | Field indirect costs (rentals, temp facil, etc) | Includes construction equipment, temp buildings, tools, supplies, other support services |
| 32 | Construction supervision | Direct supervision of construction activities |
| 33 | Commissioning and Start-Up Costs | Includes start-up procedure development, trial test run services, and commissioning of materials, etc. |
| 34 | Demonstration Test Run | All services required for demonstration run including labor, consumables, spares, and supplies |
| Sum 1 - 34 | TOTAL FIELD COST | |
| 35 | Design Services Offsite | Engineering, design, and layout work conducted at offsite office (vendor or architects/engineers) |
| 36 | PM/CM Services Offsite | Project management and support occurring offsite |
| 37 | Design Services Onsite | Same as 35 except on-site at plant |
| 38 | PM/CM Services Onsite | Same as 36 except on-site at plant |
| 39 | Contingency on Indirect Services | Additional cost to achieve desired confidence to prevent indirect services cost over-run |
| Sum 1-3 | BASE CONSTRUCTION COST | |
| 4 | Capitalized Owner's costs (Subtotal) | |
| 40 series | | |
| 41 | Staff recruitment and training | Recruit and train operators before plant start-up |
| 42 | Staff housing facilities | Relocation costs, camps, or permanent housing for O&M staff |
| 43 | Staff salary-related costs | Taxes, insurance, benefits, fringes, etc; other salary-related costs |

| 46 | Other Owners' capital investment costs | |
|-----------|--|--|
| 49 | Contingency on Owner's Costs | Additional cost to achieve desired confidence to prevent owner's cost over-run |
| 5 | Capitalized Su | pplementary Costs (subtotal) |
| 50 series | | |
| 51 | Shipping & transportation costs | Shipping and transportation for major equipment or bulk shipments with freight forwarding |
| 52 | Spare parts and supplies | Spare parts furnished by system suppliers for first year of operation |
| 53 | Taxes | Taxes associated with the permanent plant, such as property tax - capitalized with the plant |
| 54 | Insurance | Insurance associated with the permanent plant, such as property tax - capitalized with the plant |
| 58 | Decommissioning Costs | Decommission, decontaminate, and dismantle plant at end of commercial operation |
| 59 | Contingency on supplementary costs | Additional cost to achieve desired confidence to prevent supplementary cost over-run |
| Sum 1-5 | OVERNIGI | HT CONSTRUCTION COST |
| 6 | Capitalized Financial Costs (subtotal) | |
| 60 series | | |
| 61 | Escalation | Typically excluded for fixed year, constant dollar analysis |
| 62 | Fees/Royalties | Fees or royalties to be capitalized with the plant |
| 63 | Interest during construction | Applies to all costs incurred before commercial operation and assumed to be financed by loan. |
| 69 | Contingency on financial costs | Additional cost to achieve desired confidence to prevent financial cost over-run (including scheduling issues) |
| Sum 1-6 | TOTAL CAPITAL INVESTMENT COST | |

| Table B.2. Modified C | COA for Annualized O&M | and Financial Cost Estimation ² |
|-----------------------|------------------------|--|
|-----------------------|------------------------|--|

| EMWG Acct # | Account Title | Description |
|----------------|--|--|
| 7 | | Annualized O&M Cost (subtotal) |
| 70 series | | |
| 71 | Operations Staff | Salary Costs of operations staff |
| 72 | Management Staff | Salary Costs of operations management staff and clerical staff |
| 73 | Salary-Related Costs | Taxes, insurance, benefits, fringes, etc; (included in 71 and 72 above) |
| 74 | Raw Materials | Process chemicals as identified in process flow diagrams. |
| 75 | Spare Parts | Any operational spare parts - excludes capital plant upgrades or major equipment that is capitalized or amortized |
| 76 | Utilities, Supplies and Consumables | Water, gas ,electricity, tools, non-process chemicals, maintenance equipment and labor, office supplies, etc. purchased annually |
| 77 | Capital Plant Upgrades | Upgrades to maintain or improve plant capacity, meet regulations or extend plant life |
| 78 | Taxes and Insurance | Property taxes and insurance costs, excluding salary- related |
| 79 | Contingency on O&M Cost | Additional cost to achieve desired confidence to prevent annualized O&M cost over-run |
| 9 | A | nnualized Financial Costs (subtotal) |
| 90 series | | |
| 91 | Escalation | Typically excluded |
| 92 | Fees | Annual fees such as licensed process, operating license fees, etc. |
| 93 | Cost of Money | Value of money used for operations - financed or retained earnings |
| 99 | Contingency on Financial Costs | |

The categories in the tables have been modified from the EMWG COA to tailor the accounting system to the braid adsorbent project (e.g., exclusion of nuclear reactor and electricity production accounts). The COA provides the hierarchical structure for the component costs used to develop the figure of merit for this project. One goal of this

assessment was to ensure the one-digit categories of the COA (at minimum) were estimated.

Several cost estimation techniques were used in tandem with specific data provided in the Japanese assessment to populate the COA tables. The techniques are covered generically in this section and were adapted to specific process areas as needed. Table B.3 provides an overview of the techniques that were used to populate each of the single-digit accounts in the COA.

| Account | Category | Estimation Technique |
|---------|---------------------------------------|---|
| 1 | Capitalized Pre-Construction Cost | |
| 2 | Capitalized Direct Cost | Fixed Capital Investment |
| 3 | Capitalized Indirect Services Cost | Teeninque |
| 4 | Capitalized Owner's Cost | Labor Estimation Technique |
| 5 | Capitalized Supplementary Cost | Fixed Capital Investment Technique Decommissioning Not Covered |
| 0 | | 62: Fixed Capital Investment |
| 6 | Capitalized Financial Cost | 63: IDC Estimation |
| 7 | Annualized O&M Cost | Labor Estimation Technique Utility and Chemicals Estimation Fixed Capital Investment Technique |
| 9 | Annualized Financial Cost | N/A |

Table B.3. Overview of Cost Estimation Techniques used to Populate Code of Accounts

B.1.1 CAPITAL COST ESTIMATION: FIXED CAPITAL INVESTMENT (COA 1 TO 6)

To standardize cost and uncertainty assessment methods, the chemical process industry has defined five classifications of capital cost assessment including the data requirements, preparation effort/cost, and expected accuracy of the estimates. These techniques will be applicable to accounts 1 through 6 in the COA. Table B.4 summarizes the techniques and data requirements.

| | Data Required | Accuracy of Estimate (+/-) | Applicable to this Work? |
|-----------------------|---|----------------------------------|--------------------------------|
| Order of Magnitude | Cost information for a complete process taken from previously built plants. Adjusted via scaling laws and inflation indices. Basic block flow diagram (BFD) is sufficient. | >30% | Yes |
| Study | Utilizes a list of major equipment in the process with approximate sizes and costs. Equipment costs are factored to estimate total capital cost. Requires detailed process flow diagram (PFD). | 30% | Yes |
| Preliminary Design | Requires more rigorous sizing of equipment and approximate layout; Estimates of piping, instrumentation, and electrical requirements. Utilities estimated. PFD plus equipment sketches, plot plan, and elevation diagrams. Used for budgeting. | 20% | No |
| Definitive | Requires preliminary specifications for ALL equipment, utilities, instrumentation, electrical, and off-sites. Final PFD, equipment sketches, plot plan, elevation diagrams, utility balances and a preliminary P&ID. | 10% | No |
| Detailed | Complete engineering of the process, all off- sites, and utilities. Vendor quotes for most expensive items. Next step is construction phase. All diagrams in final version for construction. | 5% | No |

Table B.4. Capital Cost Estimation Techniques^{3,4}

The capital cost estimation in this work is largely a combination of order of magnitude and study level estimation based data available at the time of this analysis.

This analysis relies on cost-scaling estimates based on the equipment lists and required capacity from the JAEA estimates; where possible, vendor quotes were obtained to provide specific equipment cost points. Sizing and costing assume the JAEA base case, 100,000 tonnes of annual adsorbent production and 1200 tonnes of uranium produced.5 In cases where reference capacity for an equipment or process differed from that required for the current design or when the overall uranium production capacity was varied, the following general cost scaling law was used:

$$\boldsymbol{C}_{2} = \boldsymbol{C}_{1} * \left(\frac{\boldsymbol{I}_{2}}{\boldsymbol{I}_{1}}\right) * \left(\frac{\boldsymbol{S}_{2}}{\boldsymbol{S}_{1}}\right)^{\boldsymbol{X}}$$
(B.1)

where

 C_2 = Cost of current design or estimate, U.S. dollars

 C_1 = Cost of the reference design, U.S. dollars

 I_2 = Engineering Cost Index at current time (Cost Indices discussed below)

- I_1 = Engineering Cost Index at reference design time (discussed below)
- S_2 = Capacity/size of current design (characteristic dimension of equipment)
- S_1 = Capacity/size of reference design (characteristic dimension of equipment)
- x = Scaling exponent

For each piece of equipment, a cost scaling exponent, x, was identified from literature when possible. In cases where detailed references were not available or sizing was not possible at the equipment level, the scaling relationship in equation A.1 was applied to the entire process area. In the absence of scaling exponents and relationships in the literature, the "two-thirds" scaling rule was applied (x=0.67 in equation A.1); this value represents an average across all types of chemical plants⁶.

Two engineering cost indices were used in this analysis: the Marshall and Swift Equipment Cost Index (M&S) for individual equipment cost scaling and the Chemical Engineering Plant Cost Index (CEPCI) for plant or process-wide scaling.

The purchased equipment cost derived from equation A.1 is a component of the fixed capital investment (FCI) categories in the COA (Accounts 1 to 5). The method used for FCI estimation3 was based on delivered equipment cost. Purchased equipment prices estimated by the scaling methods described above are typically free on board (f.o.b) meaning the purchaser is responsible for freight; to estimate the delivered cost of equipment, 10% of the equipment cost was added as delivery costs. All other components of the total FCI are estimated as a percentage of the delivered equipment cost. The components of FCI are summarized in Table B.5; the percentage of delivered equipment costs are based on industry-wide average values for chemical plants.³

| | % of Delivered Equipment cost (E) | Notes | | | | |
|---|--------------------------------------|---|--|--|--|--|
| | Direct Costs (DC) | | | | | |
| Purchased Equipment delivered (E) | 100% | | | | | |
| Purchased Equipment installation | 39% | | | | | |
| Instrumentation and Controls (Installed) | 26% | | | | | |
| Piping (Installed) | 31% | | | | | |
| Electrical systems (Installed) | 10% | | | | | |
| Buildings (including Services) | 29% | JAEA provided detailed information on buildings that will be used in place of this estimation. | | | | |
| Yard Improvements | 12% | This value does not include the cost of the land | | | | |
| Service Facilities (Installed) | 55% | | | | | |
| Total Direct Plant Cost | 302% | | | | | |
| | Indirect Costs (IC) | | | | | |
| Engineering and Supervision | 32% | | | | | |
| Construction Expenses | 34% | | | | | |
| Legal Expenses | 4% | | | | | |
| Contractor's Fee | 19% | | | | | |
| Contingency | 37% | Contingency is 10% of each 1-digit COA in this analysis | | | | |
| Total Indirect Plant Cost | 126% | | | | | |
| Grass | Grass Roots Adjustment (GR) | | | | | |
| Auxiliary Facilities | 50% | Accounts for additional costs to bring facilities services to a new location | | | | |
| Fixed Capital Investment (DC+IC+GR) | 478% | | | | | |

Table B.5. Factors for estimating fixed capital investment from delivered equipment cost3 and grass roots adjustment $^{\rm 4}$

In sum, the FCI is 4.78 times the total delivered purchased equipment cost.

B.1.2 ANNUALIZED O&M COST ESTIMATION

Operations and Management Staff (COA 71, 72, AND 73)

Labor cost calculations include techniques to estimate the man-hours required to operate the process as well as the appropriate wage for the industry, skill level, and location of the process. The technique used in this estimation was developed from a correlation of historical labor requirements for United States chemical companies and applied generically to chemical process plants⁷. The correlation, which remains in wide use today, yielded the following empirical relationship:

$$\boldsymbol{O}_{WH} = \boldsymbol{t} * \left[\frac{N_P}{C_D^{0.76}} \right]$$
(B.2 a)

where

Own = Operating work hours per ton of product

t = 23 for batch operations with a maximum of labor

t = 17 for operations with average labor requirements

t = 10 for well-instrumented continuous process operations

 N_{np} = Number of major process steps

C_D = Plant capacity, tons/day

The number of operators is then estimated from the man-hours requirement:

$$N_{OL} = \frac{O_{WH}}{H_W} * C_Y$$
(B.2 b)

where

NoL= Number of operators required

 H_W = Hours worked by single operator (1960 hours per year)

 C_{Y} = Plant capacity, tons/year

The method requires judgment about the complexity of the process and what constitutes a major process step. In this analysis, batch and adsorbent handling processes (such as the elution process) used the labor-intensive t-value of 23. All other processes implemented a t-value of 17, which corresponds to average labor intensity. Major process steps were defined as those that include unit operation such as separations equipment or a reactor; storage tanks, pumps, and material handling equipment were not considered a major process steps. The method provides an estimate without detailed equipment specifications; however, labor estimates should be revised based on the final system design following the detailed design phase and/or pilot scale deployment.

The average wage rate for operators was obtained from the United States Bureau of Labor Statistics (BLS). The rates used in this analysis are summarized in Table B.6.

| Occupation Code | Occupation Title | Mean Hourly | Mean Annual |
|--------------------|---|----------------|-------------|
| 51-8091 | Chemical Plant and System Operators | \$26.30 | \$54,700 |
| | with Benefits | \$39.85 | \$82,879 |
| 52 5011 | Sailors and Marine Oilers | \$18.28 | \$38,030 |
| 03-0011 | with Benefits | \$28.12 | \$58,508 |
| 53-5021 | Captains, Mates, and Pilots of Water Vessels | \$33.89 | \$70,500 |
| | with Benefits | \$52.14 | \$108,462 |

| Table B.6. National average wage | rates ⁸ for selected occupations, 2 | 2010 US\$ |
|----------------------------------|--|-----------|
|----------------------------------|--|-----------|

The wage rates used in labor cost estimation include benefits to reflect the true cost to employers. The last two rows in Table B.6 apply to the mooring and deployment operations; all other staff were treated as chemical plant operators. The final labor cost estimate from this method is estimated as follows:

$$\boldsymbol{C}_{\boldsymbol{OL}} = \boldsymbol{N}_{\boldsymbol{OL}} \ast \boldsymbol{W} \tag{B.3}$$

where

Col = Annual Cost of Operating Labor, 2010 U.S. \$

W = Annual Wage rate for operator (including benefits), 2010 U.S. \$

The methods presented thus far account only for operating labor for day to day operations of the respective process facilities; additional labor costs are incurred due to supervisory and clerical labor directly associated with operations (this includes administrative, engineering and support personnel). The additional labor costs are commonly estimated as a fraction of the operating labor costs, ranging from 10 to 25%4. For this analysis, supervisory and clerical labor was estimated as 18% of the operating labor costs. The cost of management staff for a process can be summarized as:

$$\boldsymbol{C}_{\boldsymbol{M}\boldsymbol{L}} = \boldsymbol{f}_{\boldsymbol{l}\boldsymbol{a}\boldsymbol{b}\boldsymbol{o}\boldsymbol{r}} \ast \boldsymbol{C}_{\boldsymbol{O}\boldsymbol{L}} \tag{B.4}$$

where

 f_{labor} = Fraction of operating labor costs, 0.18 (range 0.1 to 0.25)

C_{ML} = Cost of Management Labor, 2010 U.S. \$

$C_{\rm OL}$ = Cost of Operating Labor, 2010 U.S. $\$

The cost for maintenance labor is aggregated with supplies and materials for maintenance in account 76.

Raw Materials (COA 74)

Raw materials or process chemicals costs are derived from the mass balance of chemicals used in each process and the unit price of each chemical. Chemical unit prices and associated variation in the historical data are summarized in Table B.7.

Table B.7. Chemical Prices and Standard Deviation from Historical Data^{9,10,11,12}

| Chemical | Description | Price, 2010 US\$ average | Std. Dev. | Unit | Source(s) |
|-----------------------------|---|--------------------------------|--------------|----------------|--|
| Nitric Acid | 42° Nitric Acid (67 wt%) | \$284 | \$47 | metric ton | CMR/ICIS Historical ^{9,10} |
| Ammonia | Spot Price, 100% Ammonia | \$341 | \$148 | metric ton | CMR/ICIS Historical ^{9,10} |
| Hydrochloric Acid | 22° Nitric Acid (36 wt%), | \$148 | \$58 | metric ton | CMR/ICIS Historical ^{9,10} |
| Sulfuric Acid | 66° Sulfuric Acid (93 wt%), Commercial Grade | \$63 | \$20 | metric ton | CMR/ICIS Historical ^{9,10} |
| Tributyl Phosphate (TBP) | 100% TBP | \$6,420 | \$1,850 | metric ton | CMR/ICIS Historical ^{9,10} Vendor Quote |
| Kerosene | Kerosene from refiner to end users | \$1.70 | \$0.69 | gallon | EIA^{12} |
| Filter Aid (Diatomite) | | \$325 | \$59 | metric tons | USGS Historical ¹¹ |
| Magnesium Oxide | deadburned bgs., c.l., t.l., works | \$598 | \$121 | metric ton | CMR/ICIS Historical ^{9,10} |
| Calcium Oxide (Lime) | chemical pebble (quicklime), hydrated bulk, c.l., f.o.b. works | \$107 | \$15 | metric ton | USGS Historical ¹¹ |

| Chemical | Description | Price, 2010 US\$ average | Std. Dev. | Unit | Source(s) |
|--|--|--------------------------------|--------------|---------------|--|
| Polyethylene (HDPE) | US Gulf, bagged, export, HDPE blmldg | \$1,470 | \$280 | metric ton | CMR/ICIS Historical ^{9,10} |
| Acrylonitrile | US Gulf, contract dom. del., 100% Acrylonitrile | \$1,331 | \$587 | metric ton | CMR/ICIS Historical ^{9,10} |
| Dimethylformamide (DMF) | BASF, isocontainers, duty paid in Houston | \$1,245 | \$591 | metric ton | CMR/ICIS Historical ^{9,10} Vendor Quote |
| Hydroxylamine | Includes data for hydroxylamine salts. | \$3,077 | \$411 | metric ton | CMR/ICIS Historical ^{9,10} Vendor Quote |
| Methanol | US Gulf, contract barge, 100% Methanol | \$284 | \$127 | metric ton | CMR/ICIS Historical ^{9,10} |
| Surfactant (Sodium Dodecyl Sulfate) | | \$2,101 | \$642 | metric ton | CMR/ICIS Historical ^{9,10} Vendor Quote |
| Sodium Carbonate (Soda Ash) | dense, US Gulf, FOB bulk | \$149 | \$43 | metric ton | USGS Historical |
| Vendor Identities are anonymous per vendor requests. | | | | | |

Utilities, Supplies and Consumables (COA 76)

Utility costs are obtained in much the same manner as the raw material costs; the energy balance from the process flow for each area provided most utility requirements (including the type of utility required); the mass balance provided any process water requirements for each section. The unit cost for each type of utility in this analysis is given in Table B.8. All values are inflation adjusted using the Consumer Price Index¹³.

Table B.8. Utility Unit Costs in 2010 U.S. dollars; assume utilities are provided from outside source (not produced on-site).

| Utility | Cost (2010 US\$) | Source | Cost | Base Year |
|---|------------------|-----------------------|-------|--------------|
| Electricity (\$/kWh) ^a | 0.069 | Endnote ¹⁴ | | |
| Cooling Water (\$/1000 m ³) | 16.01 | Endnote 4 | 14.8 | 2006 |
| High Purity Water (\$/1000 k | g): | | | |
| Process Water | 0.072 | Endnote 4 | 0.067 | 2006 |
| Boiler Water (@ 115 °C) | 2.65 | Endnote 4 | 2.45 | 2006 |
| Potable Water | 0.28 | Endnote 4 | 0.26 | 2006 |
| Deionized Water | 1.08 | Endnote 4 | 1 | 2006 |
| Steam (\$/1000 kg): | | | | |
| Low Pressure - 5 barg, 160°C | 31.68 | Endnote 4 | 29.29 | 2006 |
| Medium Pressure - 10 barg, 184°C | 32.01 | Endnote 4 | 29.59 | 2006 |
| High Pressure - 41 barg, 254°C | 32.42 | Endnote 4 | 29.97 | 2006 |
| Wastewater Treatment (\$/1000 m ³): | | | | |
| Primary (filtration) | 44.35 | Endnote 4 | 41 | 2006 |
| Secondary (filtration + activated sludge) | 57.33 | Endnote 4 | 53 | 2006 |
| Tertiary (filtration, activated sludge, chemical treatment) | 60.57 | Endnote 4 | 56 | 2006 |
| #2 Fu | | | | |
| New York Harbor #2 Heating Oil, Spot Price ^b | 2.12 | Endnote 12 | N/A | N/A |
| Notes: a. Annual average industrial electricity price from 1998-2010 in 2010 dollars | | | | |

b. Annual average spot price from 2005-2010 in 2010 dollars. #2 Heating Oil is a common commercial maritime fuel.

The remaining costs in the utilities, consumables, and supplies category were estimated from the fixed capital investment as calculated via Table B.5. The two primary components remaining in this cost category (maintenance costs and supplies) were estimated as follows⁴:

$$\boldsymbol{C}_{OS} = \boldsymbol{f}_{supplies} \ast \boldsymbol{C}_{n} \tag{B.5}$$

where

 $f_{\rm supplies}$ = Fraction of fixed capital investment, 0.011 (range 0.002 to 0.02)^{17}

 C_{OS} = Cost of Operating Supplies, 2010 U.S. \$

 C_n = Fixed Capital Investment, 2010 U.S. \$

And

 $C_M = f_{maint} * C_n$ (B.6) where $f_{maint} =$ Fraction of fixed capital investment, 0.06 (range 0.02 to 0.1)4 $C_M =$ Cost of Maintenance, 2010 U.S. \$ $C_n =$ Fixed Capital Investment, 2010 U.S. \$.

Finally, the total costs associated with account 76 are summarized as:

 $C_{76} = C_U + C_{0S} + C_M$ (B.7)

where

 C_{76} = Total cost of utilities, supplies and consumables, 2010 U.S. \$

 $C_U = Cost of utilities, 2010 U.S.$ \$.

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Taxes and Insurance (COA 78)
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Taxes and insurance were also estimated as a portion of the fixed capital investment:

$$\boldsymbol{C}_{TI} = \boldsymbol{f}_{taxes} \ast \boldsymbol{C}_{\boldsymbol{n}} \tag{B.8}$$

where

 f_{taxes} = Fraction of fixed capital investment, 0.032 (range 0.014 to 0.05)4

CTI = Cost of taxes and insurance, 2010 U.S. \$

 C_n = Fixed Capital Investment, 2010 U.S. \$.

SUMMARY

Table B.9 provides a summary of the operating cost estimation techniques for each COA item.

| EMWG Acct # | Account Title | Cost Calculation |
|----------------|--|--|
| 7 | Annualized O&M Cost (subtotal) | |
| 70 series | | |
| 71 | Operations Staff | Number of Operators (Total) * Wage rate for operator (See equations A.2 and A.3) |
| 72 | Management Staff | 0.18 * Cost of Operating Staff (See equation A.4) |
| 73 | Salary-Related Costs | Included in 71 and 72 above |
| 74 | Raw Materials | Quantity consumed * Unit cost of chemical (Table B.7) |
| 75 | Spare Parts | N/A |
| 76 | Utilities, Supplies and Consumables | Utilities consumed * Unit Cost of Utility + 0.011*FCI + 0.06*FCI (See equations B.5 - B.7 and Table B.8) |
| 77 | Capital Plant Upgrades | N/A |
| 78 | Taxes and Insurance | 0.032 * FCI (See equation B.8) |
| 79 | Contingency on O&M Cost | 0.1 * sum of accounts 71 through 78 |
| 9 | Annualized Financial Costs (subtotal) | |
| 90 series | | |
| 91 | Escalation | Typically excluded |
| 92 | Fees | Annual fees such as licensed process, operating license fees, etc. |
| 93 | Cost of Money | Value of money used for operations - financed or retained earnings |
| 99 | Contingency on Financial Costs | |

B.1.3 INTEREST DURING CONSTRUCTION

Account 63 in the COA represents interest costs accrued during the construction phase of a project. The interest during construction (IDC) is calculated based on the overnight construction of the plant (sum of accounts 1 through 5)2. Loans must be taken out in the

construction period to cover all capital assets of the project prior to production. Subsequently, the accumulated interest cost can be capitalized or amortized with the capital assets.

In this analysis, the interest during construction was modeled given a capital expenditure profile described by equation $B.9^{15}$:

$$f_{k} = \frac{\Gamma(n) * \Gamma(\alpha + k - 1) * \Gamma(n + \beta - k) * \Gamma(\alpha + \beta)}{\Gamma(k) * \Gamma(n - k + 1) * \Gamma(\alpha + \beta + n - 1) * \Gamma(\alpha) * \Gamma(\beta)}$$
(B.9)

Where

 f_k = Fraction of capital funds used in year k of the construction period n

n = Years of construction (6 years)

 Γ is the gamma function

 α = Shape parameter¹⁵ for the distribution = 1 + $e^{-0.432*(n-11.5)}$

 β = Shape parameter¹⁵ for the distribution = $\frac{\alpha * (1-p)}{p}$

 ${\bf p}$ = Fraction of construction period where half of the total overnight capital cost has been spent $(0.65)^{15}$

If α and β are restricted to integer values (as in this analysis), the gamma function can be solved by factorial expansion:

$$\boldsymbol{\Gamma}(\boldsymbol{n}) = (\boldsymbol{n} - 1)!$$

The 6 year construction period is a conservative estimate that corresponds to nuclear power plants; in this analysis, established manufacturing processes such as melt spinning or uranium purification are unlikely to require a 6 year construction period. However, the full seawater extraction process has never been demonstrated or constructed at the scale assessed in this work, and is subject to a great deal of regulatory and technical uncertainty at the current stage of development. The analogy to a nuclear facility may be warranted until more information regarding project implementation is developed.

Overnight construction costs of all process areas in the seawater extraction project totaled \$2.7 billion (2010 US\$) in the base case conditions; using the parameters for the capital expenditure profile described in A.9 and a 6.5% construction loan interest rate, total interest accrued during construction was approximately \$470 million. This cost was amortized at 30 years and 10% from the project commencement date.

B.1.4 UNCERTAINTY ASSOCIATED WITH COST INPUTS

As discussed in section 3.2, estimates of uncertainty were developed for all cost inputs and two performance inputs (adsorption capacity and degradation rate). Table B.10 summarizes the input uncertainties.

| Item | Mean | Standard Deviation | Data Provided As: | Category |
|--|---------|-----------------------|----------------------|----------|
| Cost of Electricity (\$/kWh) | \$0.069 | \$0.002 | Data Set | |
| Cooling Water (\$/1000 m ³) | \$16.00 | \$2.40 | Point Estimate | |
| Process Water (\$/1000 kg) | \$0.073 | \$0.011 | Point Estimate | |
| Boiler Water (@ 115 °C) (\$/1000 kg) | \$2.65 | \$0.40 | Point Estimate | |
| Potable Water (\$/1000 kg) | \$0.28 | \$0.04 | Point Estimate | |
| Deionized Water (\$/1000 kg) | \$1.08 | \$0.16 | Point Estimate | |
| Low Pressure - 5 barg, 160°C (\$/1000 kg) | \$31.70 | \$4.75 | Point Estimate | Utilitie |
| Medium Pressure - 10 barg, 184°C (\$/1000 kg) | \$32.00 | \$4.80 | Point Estimate | |
| High Pressure - 41 barg, 254°C (\$/1000 kg) | \$32.40 | \$4.86 | Point Estimate | |
| Wastewater Treatment: Primary (\$/1000 m3) | \$44.30 | \$6.65 | Point Estimate | |
| Wastewater Treatment: Secondary (\$/1000 m3) | \$57.30 | \$8.60 | Point Estimate | |

Table B.10. Variables included in Monte Carlo Analysis with mean and standard deviation

| Item | Mean | Standard Deviation | Data Provided As: | Category |
|--|---------|-----------------------|----------------------|----------|
| Wastewater Treatment: Tertiary (\$/1000 m3) | \$60.60 | \$9.09 | Point Estimate | |
| #2 Heating Oil (\$/gal) | \$2.12 | \$0.28 | Data Set | |
| Nitric Acid (\$/tonne) | \$284 | \$47 | Data Set | |
| Ammonia (\$/tonne) | \$341 | \$148 | Data Set | |
| Hydrochloric Acid (\$/tonne) | \$148 | \$58 | Data Set | |
| Sulfuric Acid (\$/tonne) | \$63 | \$20 | Data Set | |
| Tributyl Phosphate (\$/tonne) | \$6,420 | \$1,850 | Data Set | |
| Kerosene (\$/gallon) | \$1.70 | \$0.69 | Data Set | Ch |
| Filter Aid (Diatomite) (\$/tonne) | \$325 | \$59 | Data Set | emicals |
| Magnesium Oxide (\$/tonne) | \$598 | \$121 | Data Set | |
| Calcium Oxide (Lime) (\$/tonne) | \$107 | \$15 | Data Set | |

| Item | Mean | Standard Deviation | Data Provided As: | Category |
|---|---------|-----------------------|----------------------|-------------------------------|
| Polyethylene (HDPE) (\$/tonne) | \$1,470 | \$280 | Data Set | |
| Acrylonitrile (\$/tonne) | \$1,330 | \$587 | Data Set | |
| Dimethylformamide (\$/tonne) | \$1,250 | \$591 | Data Set | |
| Hydroxylamine (\$/tonne) | \$3,080 | \$411 | Data Set | |
| Methanol (\$/tonne) | \$284 | \$127 | Data Set | |
| Surfactant (Sodium Dodecyl Sulfate) (\$/tonne) | \$2,100 | \$642 | Data Set | 1 |
| Sodium Carbonate (\$/tonne) | \$149 | \$43 | Data Set | |
| Sodium Hydroxide (\$/tonne) | \$483 | \$113 | Data Set | |
| Dimethyl Sulfoxide** (\$/tonne) | \$1,660 | \$624 | Data Set | |
| Methacrylic Acid** (\$/tonne) | \$3,444 | \$518 | Data Set | |
| Land (% of FCI) | 0.015 | 0.0025 | Range | Cost Estimation Factors |

| Item | Mean | Standard Deviation | Data Provided As: | Category |
|---|----------|-----------------------|----------------------|----------|
| Plant Licensing (% of FCI) | 0.03 | 0.015 | Range | |
| Chemical Plant - Cost Scaling Exponent | 0.67 | 0.13 | Data Set | |
| Solvent Extraction Cost Scaling Exponent | 0.73 | 0.1095 | Point Estimate | |
| Purchased Equipment Delivered - (Basis for FCI Estimate) | 100% | 15% | Point Estimate | |
| Purchased Equipment Cost Uncertainty Factor | 1 | 0.15 | Point Estimate | |
| Melt Spinning Cost Scaling Exponent | 0.46 | 0.09 | Data Set | |
| E-Beam Cost Scaling Exponent | 0.258 | 0.111 | Data Set | |
| Labor Estimation Factor - Maximum labor requirements | 23 | 3.5 | Point Estimate | |
| Labor Estimation Factor - Average labor requirements | 17 | 2.6 | Point Estimate | |
| Labor Estimation Factor - Minimum labor requirements | 10 | 1.5 | Point Estimate | |
| Chemical Plant and System Operators: Annual Salary with Benefits | \$82,900 | \$492 | Data Set | |

| Item | Mean | Standard Deviation | Data Provided As: | Category |
|---|-----------|-----------------------|----------------------|------------------|
| Sailors and Marine Oilers: Annual Salary with Benefits | \$58,500 | \$456 | Data Set | |
| Captains, Mates, Pilots of Water Vessels: Annual Salary with Benefits | \$108,000 | \$1,128 | Data Set | |
| Direct supervisory and clerical labor Estimation Factor (% of OL Cost) | 0.175 | 0.038 | Range | |
| Maintenance Estimation Factor (% of FCI) | 0.06 | 0.02 | Range | |
| Operating Supplies Estimation Factor (% of FCI) | 0.011 | 0.005 | Range | |
| Local Taxes and Insurance Estimation Factor (% of FCI) | 0.032 | 0.009 | Range | |
| Mooring and Deployment: Other Operating Cost Factor (% of FCI) | 0.04 | 0.005 | Range | |
| Disposal Cost Uncertainty Factor | 1 | 0.15 | Point Estimate | |
| Adsorbent Degradation (% per recycle) | 0.05 | 0.025 | Point Estimate | Perforn Paran |
| Adsorbent Capacity (kg U/t adsorbent)* | 2 | 0.5 | Data Set | nance neters |

* Standard deviation derived from an empirical model. See Appendix D.

B.2. SUPPORTING CALCULATIONS BY PROCESS AREA

In addition to the general costing techniques discussed in the preceding section, each area required of process area required specific sizing and scaling assumptions to develop capital and operating costs. Key assumptions and calculations are summarized by process area.

B.2.1 ADSORBENT PRODUCTION SUPPORTING CALCULATIONS

The adsorbent production area consists of melt spinning, fiber irradiation, and polymer grafting processes depicted in Figure A.1 and Figure A.2 in Appendix A. The spinning equipment costs were developed via reference plant costs and vendor quotes for a variety of melt spinning facilities. Table B.11 lists the reference plant sources used to develop a cost estimate for the melt spinning process; the data in the table represent the total capital investment for the plant, including major equipment items.

| Year | Annual Capacity (metric tons) | Investment 2010 US\$ | Material | Location | Source |
|------|-------------------------------------|-------------------------|-----------|----------|--|
| 2011 | 65 | \$1,930,000 | PAN | U.S.A | ORNL Carbon Fiber Pilot Facility ¹⁶ |
| 2010 | 500,000 | \$295,000,000 | N/A | China | Jiangsu Challen Fiber S&T Co.,Ltd ¹⁷ |
| 2008 | 160,000 | \$38,300,000 | Polyester | China | Zhejiang Huatesi Polymer Technical Co.,Ltd., Phase 1 ¹⁸ |
| 2010 | 180,000 | \$32,500,000 | Polyester | China | Zhejiang Huatesi Polymer Technical Co.,Ltd., Phase 2 ¹⁸ |
| 2003 | 200,000 | \$74,500,000 | Polyester | China | Tongxiang Zhongxin Chemical Fiber Co., Ltd. ¹⁹ |
| 2007 | 200,000 | \$68,900,000 | Polyester | China | Tongxiang Zhongchi Chemical Fiber Co., Ltd. ¹⁹ |

Table B.11. Melt Spinning Line Cost and Capacity Reference Data

Regression analysis was implemented to derive a cost scaling exponent (0.464) that was applied in the following scaling relationship:

$$C_2 = C_1 * \left(\frac{S_2}{S_1}\right)^{0.464}$$
 (B.10)

where

 C_2 = Capital Investment, 2010 US\$

C₁ = Capital Investment, **Reference Plant**, 2010 US\$

 S_2 = Melt spinning plant capacity, metric tonnes/year

 S_1 = Melt spinning plant capacity, **Reference Plant**, metric tonnes/year

The ORNL facility (first entry) in Table B.11 was used as the reference design.

The irradiation step includes the electron beam accelerator and associated equipment. Electron beam accelerators are classified by the energy of electrons in the beam (in electron volts, eV), the current of the beam (in amperes, A) and the resultant power (in kilowatts, kW). The power reflects the primary operating cost (electricity consumption) and will also serve as the basis for cost scaling when developing a capital cost estimate.

In addition, the dose (in grays or kilograys, Gy or kGy) is an important factor in polymer grafting. JAEA cited an average dose of 50 kGy in the radiation grafting process²⁰; other sources cite a range from 20 kGy to 100 kGy for similar processes²¹. The current system will assume a dose of 50 kGy.

Depth-dose distribution curves allow energy and thickness specification to ensure dose uniformity; however, they do not consider throughput requirements. The accelerator must maintain the required dose to generate reactive sites through the entire depth of the polymer product while maximizing throughput. Equation 2.3 illustrates the relationship between throughput and the beam characteristics²¹:

$$I = \left(\frac{D_0}{F_i K_0}\right) * \frac{A_p}{T} \tag{B.11}$$

where

I = beam current in mA

 D_0 = Surface Dose in kGy (50 kGy for this process)

 F_i = Beam Current Utilization Efficiency (0.8 to 0.9)

 K_0 = Area Processing Coefficient in kGy*m²/mA*min

 A_P/T = Area Throughput in m²/min

As mentioned, beam energy and current ultimately determine the power of the accelerator as given by equation 2.4:

$$\boldsymbol{P} = \left(\frac{\boldsymbol{E}}{\boldsymbol{q}}\right) * \boldsymbol{I} \tag{B.12}$$

P = Beam power in kW (Output power after losses)

E = Beam Energy in MeV

q = Integer value of the elementary particle charge (q = -1 for electrons)

I = Beam Current in mA.

The power of the accelerator is used to scale the cost from the reference design. The reference design cost and specifications were obtained via a vendor quote based on a similar fiber irradiation process. Table B.12 summarizes the design data for the braid adsorbent irradiation process as well as the equipment specifications and cost provided by the vendor²².

| | Parameter | Value | Unit |
|----------|--|-------------|-------------|
| suc | Capacity | 50,000 | tonnes/year |
| catio | Dose | 50 | kGy |
| specific | Individual Fiber Diameter | 23 | mm |
| esign (| Fiber Bundle Thickness | 1 | mm |
| | Operating Hours (@95% availability) | 8300 | Hours |
| | Parameter | Value | Unit |
| | Capacity | 44,000 | tonnes/year |
| O | Energy | 0.8 | MeV |
| eren | Current | 160 | mA |
| Refe | Power | 128 | kW |
| for 1 | Electrical Efficiency | 60% | N/A |
| Venc | Annual Power Consumption | 2,000,000 | kWh |
| | Capital Cost- Accelerator | \$2,250,000 | 2010 US\$ |

Table B.12. Electron Beam Design Specifications and Vendor Reference Design

Figure B.1 includes cost data collected by Sandia National Laboratories over a range of accelerator power and the vendor estimate from Table B.12.



Figure B.1. Electron Beam Cost as Function of Beam Power²³, with vendor data²²

The data in Figure B.1 was used to derive the scaling exponent in the following cost estimate for electron beam accelerators:

$$C_2 = C_1 * \left(\frac{P_2}{P_1}\right)^{0.258}$$
 (B.13)

where

 $C_2 = Capital Investment, 2010 US$

C₁ = Capital Investment, **Reference Design**, 2010 US\$

 P_2 = Accelerator Power, kW

 P_1 = Accelerator Power, **Reference Design**, kW

Equations 2.3 and 2.4 were used to determine that a 145 kW accelerator would be required to meet throughout requirements for the braid adsorbent process. The vendor quote was used as the reference for cost scaling in equation 2.5.

Figure A.2 (Appendix A) is the process flow diagram for the grafting and braiding process. There are 4 primary types of equipment in this area: solids conveying, grafting reactors, storage tanks, and braiders.

The solids conveying equipment is used to transport the irradiated fibers from the e-beam accelerator area to the reactor area. Without specific details on handling requirements, packaging, and facility layout, a detailed solids handling system cannot be specified. However, a basic belt conveyor system was assumed to allow a preliminary cost assessment.

| Adsorbent Produced Annually | 100,000 | tonnes adsorbent/yr | |
|--------------------------------|---------|----------------------|--|
| Plant Uptime | 0.9 | Uptime | |
| Operating Hours | 7,800 | Operating Hours/year | |
| Marco Flow Date | 13 | tons/hr | |
| Mass flow Rate | 3.5 | kg/s | |
| Belt Width | 0.4 | meters | |
| Transport Distance* | 1,500 | meters | |
| Belt Speed | 0.75 | m/s | |

Table B.13. Belt Conveyor System Specifications - Grafting Area

*Transport Distance estimated as distance around perimeter of entire adsorbent production facility specified in JAEA analysis (143,215 m^2 facility)²⁰

Table B.13 shows the design parameters for the solids conveying system. With the belt width and the transport distance, the cost estimate for the belt conveyor was developed from a standard cost scaling relationship3:

Cost of Conveyor =
$$1050 * Distance + 5884$$
 (B.14)

where

Cost of Conveyor = Capital cost of 0.4 m wide conveyor, 2002 US\$

Distance = Transport length of conveyor system, m.

The same calculations will be used in the back end elution process for the solids handling of saturated adsorbent.

The grafting reactor data was taken from the JAEA cost estimate; the design assumptions for the grating reactors are summarized in Table B.14.

| Parameter | Value | Units | Comments |
|---------------------------|--------|----------------|--|
| HDPE Grafted Annually | 50,000 | tonnes/yr | yields 100,000 tons of adsorbent, 100% grafting |
| Plant Uptime | 0.9 | days/day | |
| Daily Operating Hours | 24 | hours/day | 9 hours assumed in JAEA |
| Annual Operating Hours | 7884 | hours/yr | |
| Mass Flow Rate | 6342 | tonnes/hr | |
| Reaction Time | 3 | hours | JAEA Assumption |
| Bobbins per Reactor | 250 | bobbins | JAEA Assumption |
| Weight per Bobbin | 1 | Kg | JAEA Assumption |
| Reactor Volume | 4 | m ³ | JAEA Assumption |

| Table | B.14. | Grafting | Reactor | Sizing | Data |
|-------|-------|----------|---------|---------------------------------------|------|
| | | | | · · · · · · · · · · · · · · · · · · · | |

The grafting reactors were treated as jacketed, stirred reactors for cost estimation purposes. The cost was estimated from an empirical relationship³:

Cost of Tank =
$$21200 * Volume^{0.53}$$
 (B.15)

where

Cost of Tank = Capital cost of 316 SS, field erected tank, 2002 US\$

Volume = Size of Tank, m^3 .

Next, the grafting chemicals used in the process should be stored in bulk on site considering the large volumes and high throughput rates of the adsorbent production process. Each storage tank was sized to a 30 day capacity for each chemical. The following cost scaling relationship was implemented using the calculated tank volumes¹⁶:

Cost of Tank =
$$163 * Volume + 63100$$
 (B.16)

where

Cost of Tank = Capital cost of 316 SS, field erected tank, 2002 US\$

Volume = Size of Tank, m^3 .

After the grafting process is complete, the multifilament bundles are braided around a central backbone that serves as a float for the adsorbents; the braiding is the final step of

adsorbent production. The price and quantity of the custom braiders was taken directly from the JAEA analysis $^{20}\!$

B.2.2 MOORING AND DEPLOYMENT SUPPORTING CALCULATIONS

The mooring and deployment area required sizing of anchor chains to moor the braid adsorbent as well as ships to recover and transport the adsorbent.

Chain sizing is limited by the forces experienced by the chain during recovery by the anchor windlass. Stud-link anchor chain size is designated by the diameter of each link and each size has an associated working-load limit which should not be exceeded during operation.²⁴ The minimum size requirement for the chains in this analysis were determined by approximating loads maximum loads experienced during recovery and choosing the minimum chain size that exceeds the working-load limit.

One component of the tension during recovery is the drag force on the chains and braids. The drag-force is quantified as follows:

$$F_{D} = \frac{1}{2} * \rho_{sea} * u^{2} * C_{D} * A$$
(B.17)

where

 F_D = Drag force on mooring structures, N

u = Velocity of fluid relative to solid body, m/s

 $C_D = Drag \text{ coefficient}$

A = Projected area or Skin Area (Tangential Drag), m²

Further, the drag force must be considered as a component of the total load on the chain as the load on the given chain size and grade must not exceed the working load limit. The total load on the chain, can be summarized as:

$$F_{TL} = W_{C} + n_{B}W_{B} + F_{DW} + F_{DC} - (n_{B}B_{B} + B_{C})$$
B.18)

(

where

 F_{TL} = Total Load on chain and windlass during recovery (N)

 W_C = Weight of Chain, N

 n_B = Number of braids per chain (240)

 B_B = Buoyant force on braids = ρ_{sea} *g*V_B

 ρ_{sea} = Density of Seawater, kg/m³ (1025 kg/m³ @ 20°C and 35 g/kg salinity)

g = Gravitational acceleration, m/s² (9.8 m/s²)

 V_B = Volume of Braids, m^3 = L_B * Wd_B *Tk_B

 L_B = Length of Braid, m (60 m)

 $Wd_B = Width of Braid, m (0.2 m)$

 Tk_B = Thickness of Braid, m (0.002 m – Thickness of 7400 multifilament bundle)

 B_C = Buoyant force on chains = $\rho_{sea}*g*V_C$

 V_C = Volume of chains, m^3

 W_B = Weight of Braids = $\rho_B * g * V_B$

 ρ_B = Density of Braids, kg/m³ (953 kg/m³, density of HDPE)

 F_{DW} = Drag Force due to the windlass (from relative velocity of chain to water)

 F_{DC} = Drag Force due to ocean current (conservatively assumed at 2 m/s and tangential to recovery direction)

Drag coefficients from literature²⁵ used in the base case of the windlass operating at 4 m/min and a worst case scenario of ocean current at 2 m/s acting tangentially to the chain recovery path resulted in a total load of 543 kN. The working load (safety limit) for a 44 mm, Grade 3 chain is 539 kN²⁴. The working load limit on a chain one size smaller (42 mm) is 490 kN while the calculated load is 535 kN, exceeding the limit by nearly 10%. These preliminary calculations support the JAEA specification of a 44 mm chain.³

Work boat requirements are dictated by the adsorbent field size required to meet annual U production requirements and the speed with which adsorbent braids can be recovered by ships. Thus, ship sizing calculations couple adsorbent field design with chain recovery speed by the anchor windlass on each ship. Given that the entire braid adsorbent field must be recovered over the course of a campaign, the following set of equations derive the speed and number of the anchor windlasses from the reference adsorbent field size. Values in parentheses following variable definitions indicate the base case value for the variable.

$$N_{C} = \frac{N_{Braids}}{\left| \frac{(L_{C} - 2 * End Spacing)}{Braid Spacing} \right|}$$
(B.19)

where

 $N_{\rm C}$ = Total number of chains required to moor full field of adsorbents (6976),

 N_{Braids} = Number of braids in adsorbent field (1,670,000)

 L_c = Length of an individual chain, m (2120m),

End Spacing = Empty space at ends of a single length of chain, m (100 m each end) Braid Spacing = Spacing between individual braids to prevent tangling, m (8 m)

$$R_{CR} = \frac{N_C}{Campaign \,Length} \tag{B.20}$$

where

 R_{CR} = required daily chain recovery rate, chains per day Campaign Length = Days in each production campaign (60 days)

$$N_{Windlass} = \frac{R_{CR}}{OH_{Daily} * 60 * R_{Windlass}}$$
(B.21)
where

N_{Windlass} = number of windlasses required OH_{Daily} = Operating hours of mooring system per day (9 hours) R_{Windlass} = Operating speed of windlass, m/min (4 m/min).

The operating speed of the windlass is determined in a trade-off with the allowable payload weight (in this case, the weight of the chain and adsorbents); lower gear ratios in the windlass allow for higher recovery speeds but also reduce the allowable payload.

In addition, the speed is further limited by the fact that the effective payload is increased by drag force on the chain and adsorbents as they are recovered. This drag force was quantified in previous section.

The ships required for adsorbent deployment and recovery are directly related to the number of windlasses:

$$N_{Ships} = \frac{N_{Windlass}}{N_{Windlass-Ship}}$$
(B.22)

where

N_{Windlass-Ship} = number of windlasses per ship (1 per ship)

The size of each ship is expressed in terms of its carrying capacity, or deadweight capacity. The deadweight capacity indicates the amount of cargo the ship can carry when fully loaded. Given the total amount of adsorbent recovered (entire field recovered during a campaign) and the number of ships required to recover the adsorbent over the course of a single campaign, the deadweight capacity of each individual ship is calculated as follows:

$$DW_{ship} = \frac{M_{Adsorbent}}{N_{Ships}}$$
(B.23)

where

DW_{Ship} = Deadweight capacity of each ship (deadweight tonnes or DWT),

 $M_{Adsorbent}$ = Total mass of loaded adsorbent field (tonnes).

Note that this calculation includes an assumption that the recovery ships do not return to shore during the course of the campaign, requiring the fleet to have sufficient capacity to carry the entire field. This also creates a lag time in uranium recovery as loaded adsorbent is at sea for the duration of the campaign after its recovery. This is an area of potential operational optimization for the mooring and recovery operations.

The deadweight capacity of ships has been correlated to capital cost in past analyses for a wide range of cargo and transport vessels. Work by Cullinane and Khanna provided the highest degree of correlation ($R^2 = 0.93$) to a large dataset of ships (n=153)²⁶:

$$\ln(ship \ price) = 4.81 + 0.759 * \ln(NTEU)$$
 (B.24)

where

Ship Price = New-building contract prices (1000 US\$, 1996),

NTEU = Nominal twenty-foot equivalent unit = 14 DWT.

The regression analysis dataset used to develop equation 2.14 covered ships from roughly 2800 DWT to 84,000 DWT²⁶. Table 8 (main paper) summarizes all of the required mooring equipment and associated costs for the base case conditions.

Work by Cullinane and Khanna²⁶ related fuel consumption to the installed brake horsepower of the ship and in turn correlated brake horsepower to ship size. Therefore, for a given ship size, fuel consumption can be estimated as follows:

$$FO = \frac{BHP * SFOC * U * OH_{Daily}}{1,000,000}$$
(B.25a)

where

FO = Daily fuel oil consumption, tonnes/day

BHP = Installed brake horsepower, bhp

SFOC = Specific fuel oil consumption, gal/bhp-hr

U = Utilization of engine capacity to maintain service speed (\sim 80%)

and

 $\ln(BHP) = 2.63 + 0.967$

 $*\ln(NTEU) \qquad R^2 = 0.94$

An average value of specific fuel oil consumption of large displacement marine engines was estimated in an EPA supported marine emissions study at 0.219 kg/kWh or 163 gal/bhp-hr²⁷. It should be noted that SFOC will vary with engine operation, technology development over time, and specific engine designs and models. Using the daily fuel oil consumption, the number of ships, and the price of fuel oil #2 (Table B.8), annual fuel costs are given in Table 9 (main paper).

Crew requirements are not well correlated to ship size, and thus an empirical estimate cannot be used to determine crew size. Instead, heuristics developed by Cullinane and Khanna²⁶ were used to estimate labor requirements (Table B.15).

| Ship Size (DWT) | Crew Size |
|-----------------|-----------|
| 0 to 7000 | 16 |
| 7000 to 11,200 | 20 |
| > 11,200 | 24 |

Table B.15. Labor requirements on ships as a function of deadweight capacity

One of the crew members on the vessel was assumed to be a captain while the remainder are sailors/workers.

B.2.3 ELUTION AND PURIFICATION SUPPORTING CALCULATIONS

The equipment in the elution area includes solids conveying via a belt conveyor, two large elution tanks with agitators, and storage tanks. The belt conveyor system was discussed in section B.2.1. Annual adsorbent processing capacity in the elution area includes repeated processing of the entire adsorbent field as it is recycled and the metals loaded in the adsorbents. ^{3, 28} Table B.16 summarizes the specifications of the solids conveying system in the elution area.

| Adsorbent Processed (Field Size x Campaigns) | 600,000 | tonnes adsorbent/yr |
|--|---------|-------------------------|
| Mass of Known Adsorbed Metals | 22,394 | t adsorbent/yr |
| Loaded Adsorbent Mass (with safety margin – see footnote 28) | 644,787 | t adsorbent/yr |
| Plant Uptime | 0.9 | Uptime |
| Operating Hours | 7,884 | Operating Hours/year |
| Man Flow Data | 81.8 | tonnes/hr |
| | 22.7 | kg/s |
| Belt Width | 0.4 | meters |
| Transport Distance | 3,000 | meters |
| Belt Speed | 1.30 | m/s |

| Table B. | 16. Belt | Convevo | r System | Specificatio | ns – Flution | Area |
|----------|----------|----------|----------|--------------|--------------|------|
| | IO. DOI | COnveyor | | opeenicano | | |

The storage and elution tanks are field erected tanks as in the grafting area; Equation 3.15 provides the cost scaling relationship for the tanks. The solvent storage tanks are sized for 30 day supply. The elution tank sizing is directly adopted from JAEA, but the tanks are also equipped with agitators for mixing during processing. The following relationship describes the cost scaling of the agitation propeller³:

$$Cost of Agitator = 3370 * Power^{0.173}$$
(B.26)

where

Cost of Agitator = Capital cost of 316 SS, propeller type agitator, 2002 US\$

Power = Rated power of agitator motor, kW.

A value of 3 kW for agitator size was used for the initial cost analysis based on similar tanks used in the purification area1.

The basis for the purification process used in this analysis is the Fernald refinery, which converted and processed uranium ore to purified uranium products. An equipment list was obtained for the Fernald refinery from a design report developed during refinery start-up1. Modern cost scaling data was used to develop equipment costs for the Fernald equipment list³. The total refinery cost was scaled to 1200 tonnes of uranium capacity for the current evaluation using a cost scaling exponent from literature of 0.73 for solvent extraction facilities6.

An equipment list for the precipitation area (Table A.4 in Appendix A) was obtained from a study of uranium extraction in Canada²⁹. Equipment costs were developed using equipment sizing data from the Canadian report and cost scaling data³ or, where equipment sizing was insufficient for the estimation methods, costs were taken directly from the report. The total precipitation plant cost derived from the component equipment costs was adjusted from the reference capacity of 278 tonnes of uranium per year to the 1200 tonne/year basis in this analysis.

B.2.3 POLYMER DISPOSAL SUPPORTING CALCULATIONS

Under the Atomic Energy Act and its amendments, the 11.e(2) classification was established by the US Nuclear Regulatory Commission to encompass materials or wastes produced as byproducts of the extraction or concentration of uranium from ore³⁰. Guidance specific to the disposal of large quantities of 11.e (2) polymer material does not exist; therefore, large-scale disposal operations involving an analogous polymer material will be used as a precedent for costing the disposal of used adsorbent braids. The material selected is ion exchange resins employed for water purification at nuclear fuel cycle facilities and nuclear power plants.

Slightly-contaminated materials requiring disposal as low-level radioactive waste (LLW) or mixed low-level waste (MLLW), including polymers, arise from research, industrial or medical applications at 35 DOE and some 20,000 commercial sites³¹. A 1990 DOE study of twenty-nine treatment and disposal options for LLW- or MLLW-classified spent ion exchange resins³² will provide the basis for the cost estimate used here. The study addressed an anticipated annual waste stream of 15,000 ft³ (4,572 m³) of LLW-classified resin arising from wastewater treatment operations at the Hanford site. Using the life cycle

cost and ease of permitting as bases, the study recommended four strategies: joule-heated vitrification, incineration followed by cementation, acid digestion followed by cementation, high-temperature steam destruction followed by cementation. The incineration/cementation option is applied here. The incineration approach is widely-used for all types of polymers³³, and has been industrially achieved for polymer LLW. Studsvik RadWaste, for instance, operated an incineration/pyrolisis facility for uranium-contaminated polymer waste at Erwin, TN^{34,35}.

To treat 15,000 ft³ (4,572 m³) of polymer wastes annually for 30 years, 137,160 m³ of polymer in total, DOE estimated the undiscounted unit cost of the incineration/cementation strategy as \$343/m³ of polymer in 2010 dollars. This cost will be assumed valid for the HDPE-derived polymer used in the adsorbent system. The density of the adsorbent is 950 kg/m³, so the unit cost associated with incineration and disposal becomes \$0.360/kg ads. Since (DOE 1990) provided insufficient data to disaggregate the cost into the COA items used elsewhere in this study, this disposal unit cost will be treated as a fixed, fee-for-service cost component not subject to economies of scale.

Appendix C. Populated Code of Accounts

| EMWG Acct # | Account Title | Total Cost (2010 US\$) | Specific Annual Cost (\$/kg U/yr) |
|----------------|---|---------------------------|--|
| 1 | Capitalized Pre-construction Costs (Subtotal) | \$2,510,000 | \$0.22 |
| 10 series | | | |
| 11 | Land and land rights | \$2,510,000 | \$0.22 |
| 12 | Site permits | \$0 | |
| 13 | Plant licensing | \$0 | |
| 14 | Plant permits | \$0 | |
| 15 | Plant studies | \$0 | |
| 16 | Plant reports | \$0 | |
| 17 | Other Pre-Construction Costs | \$0 | |
| 19 | Contingency on Pre-Construction Costs (aggregated below) | \$0 | |
| 2 | Capitalized Direct Costs (Subtotal) | \$134,000,000 | \$13.87 |
| 20 series | | | |
| 21 | Structures and Improvements | \$36,400,000 | \$3.22 |
| 23 | Process Equipment | \$74,400,000 | \$8.15 |
| 24 | Electrical equipment | \$3,800,000 | \$0.42 |
| 25 | Heat Rejection System | \$0 | \$0.00 |
| 26 | Miscellaneous plant equipment | \$19,000,000 | \$2.08 |
| 27 | Special materials | \$0 | |
| 29 | Contingency on Direct Costs (aggregated below) | \$0 | |
| Sum 1-2 | Total Direct Cost | | |
| | | | |
| 3 | Capitalized Indirect Services (Subtotal) | \$32,300,000 | \$3.54 |
| 30 series | | | |
| 31 | Field indirect costs (rentals, temp facil., etc) | \$20,100,000 | \$2.20 |
| 32 | Construction supervision | \$12,100,000 | \$1.33 |
| 33 | Commissioning and Start-Up Costs | \$0 | \$0.00 |

Table C.1. Code of Accounts - Adsorbent Production Area

| 34 | Demonstration Test Run | \$0 | \$0.00 | | | |
|---------------|---|---------------|---------|--|--|--|
| Sum 1 - 34 | Total Field Cost | | | | | |
| 35 | Design Services Offsite | \$0 | | | | |
| 36 | PM/CM Services Offsite | \$0 | | | | |
| 37 | Design Services Onsite | \$0 | | | | |
| 38 | PM/CM Services Onsite | \$0 | | | | |
| 39 | Contingency on Indirect Services (aggregated below) | \$0 | | | | |
| Sum 1-3 | Base Construction Cost | | | | | |
| 4 | Capitalized Owner's costs (Subtotal) | \$1,520,000 | \$0.17 | | | |
| 40 series | | | | | | |
| 41 | Staff recruitment and training | \$0 | | | | |
| 42 | Staff housing facilities | \$0 | | | | |
| 43 | Staff salary-related costs | \$0 | | | | |
| 46 | Other Owners' capital investment costs | \$1,520,000 | \$0.17 | | | |
| 49 | Contingency on Owner's Costs (aggregated below) | \$0 | | | | |
| 5 | Capitalized Supplementary Costs (subtotal) | \$0 | 0 | | | |
| 50 series | | | | | | |
| 51 | Shipping & transportation costs | \$0 | | | | |
| 52 | Spare parts and supplies | \$0 | | | | |
| 53 | Taxes | \$0 | | | | |
| 54 | Insurance | \$0 | | | | |
| 58 | Decommissioning Costs | \$0 | | | | |
| 59 | Contingency on supplementary costs | \$0 | | | | |
| Sum 1-5 | Overnight Construction Cost | | | | | |
| CONT | Total contingency:accts 19+29+39+49+59 | \$17,000,000 | \$1.86 | | | |
| OVNT | Overnight cost | \$187,000,000 | \$19.65 | | | |
| | | | | | | |
| 6 | Capitalized Financial Costs (subtotal) | \$0 | \$0.00 | | | |
| 60 series | | | | | | |
| 61 | Escalation | \$0 | | | | |
| 62 | Fees/Royalties | \$0 | 0 | | | |

| 63 | Interest during construction \$0 | | | |
|--------------|---------------------------------------|---------------|----------|--|
| 69 | Contingency on financial costs \$0 | | | |
| Sum 1-6 | Total Capital Investment Cost | | | |
| | Total Capitalized Cost (TCIC) | \$187,000,000 | \$19.65 | |
| | Annualized Costs | | | |
| 7 | Annualized O&M Cost (subtotal) | \$512,000,000 | \$426.79 | |
| 70 series | | | | |
| 71 | Operations Staff | \$6,630,000 | \$5.53 | |
| 72 | Management Staff | \$1,160,000 | \$0.97 | |
| 73 | Salary-Related Costs | \$0 | \$0.00 | |
| 74 | Raw Materials | \$397,000,000 | \$330.93 | |
| 75 | Spare Parts | \$0 | \$0.00 | |
| 76 | Utilities, Supplies and Consumables | \$55,300,000 | \$46.10 | |
| 77 | Capital Plant Upgrades | \$0 | \$0.00 | |
| 78 | Taxes and Insurance | \$5,360,000 | \$4.46 | |
| 79 | Contingency on O&M Cost | \$46,600,000 | \$38.80 | |
| 9 | Annualized Financial Costs (subtotal) | \$0 | 0 | |
| 90 series | | | | |
| 91 | Escalation | \$0 | | |
| 92 | Fees | \$0 | | |
| 93 | Cost of Money | \$0 | | |
| 99 | Contingency on Financial Costs | \$0 | | |

Table C.2. Code of Accounts - Mooring and Deployment Area

| EMWG Acct # | Account Title | Total Cost (2010 US\$) | Specific Annual Cost (\$/kg U/yr) |
|----------------|---|---------------------------|--|
| 1 | Capitalized Pre-construction Costs (Subtotal) | \$0 | \$0.00 |
| 10 series | | | |
| 11 | Land and land rights | \$0 | \$0.00 |
| 12 | Site permits | \$0 | |
| 13 | Plant licensing | \$0 | |

| 14 | Plant permits | \$0 | |
|---|--|---|--------------------------------------|
| 15 | Plant studies | \$0 | |
| 16 | Plant reports | \$0 | |
| 17 | Other Pre-Construction Costs | \$0 | |
| 18 | Reserved for other activity as needed | \$0 | |
| 19 | Contingency on Pre-Construction Costs | \$0 | |
| 2 | Capitalized Direct Costs (Subtotal) | \$2,130,000,000 | \$233.85 |
| 20 series | | | |
| 21 | Structures and Improvements | \$0 | \$0.00 |
| 23 | Process Equipment | \$2,130,000,000 | \$233.85 |
| 24 | Electrical equipment | \$0 | \$0.00 |
| 25 | Heat Rejection System | \$0 | \$0.00 |
| 26 | Miscellaneous plant equipment | \$0 | \$0.00 |
| 27 | Special materials | \$0 | |
| 29 | Contingency on Direct Costs | \$0 | |
| Sum 1-2 | Total Direct Cost | | |
| | | | |
| 3 | Capitalized Indirect Services (Subtotal) | \$0 | \$0.00 |
| 30 series | | | |
| 31 | Field indirect costs (rentals, temp facil, etc) | \$0 | \$0.00 |
| 32 | Construction supervision | \$0 | ¢0.00 |
| 33 | | ψU | р0.00 |
| บบ | Commissioning and Start-Up Costs | \$0 | \$0.00 |
| 34 | Commissioning and Start-Up Costs Demonstration Test Run | \$0 \$0 \$0 | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost | \$0 \$0 | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost Design Services Offsite | \$0 \$0 \$0 \$0 \$0 \$0 | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 36 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost Design Services Offsite PM/CM Services Offsite | \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 36 37 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost Design Services Offsite PM/CM Services Offsite Design Services Onsite | \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 36 37 38 | Commissioning and Start-Up Costs Demonstration Test Run Total Fleid Cost Design Services Offsite PM/CM Services Offsite Design Services Onsite PM/CM Services Onsite | \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$ | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 36 37 38 39 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost Design Services Offsite PM/CM Services Offsite Design Services Onsite PM/CM Services Onsite Contingency on Indirect Services | \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$ | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 36 37 38 39 Sum 1- 3 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost Design Services Offsite PM/CM Services Offsite Design Services Onsite PM/CM Services Onsite Contingency on Indirect Services Base Construction Cost | \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$ | \$0.00 \$0.00 \$0.00 |
| 34 Sum 1 - 34 35 36 37 38 39 Sum 1- 3 4 | Commissioning and Start-Up Costs Demonstration Test Run Total Field Cost Design Services Offsite PM/CM Services Offsite Design Services Onsite PM/CM Services Onsite Contingency on Indirect Services Base Construction Cost Capitalized Owner's costs (Subtotal) | \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$ | \$0.00 \$0.00 \$0.00 \$0.00 |

| series | | | | | | |
|--------------|---|-----------------|----------|--|--|--|
| 41 | Staff recruitment and training \$0 | | | | | |
| 42 | Staff housing facilities\$0 | | | | | |
| 43 | Staff salary-related costs \$0 | | | | | |
| 46 | Other Owners' capital investment costs | \$0 | \$0.00 | | | |
| 49 | Contingency on Owner's Costs | \$0 | | | | |
| 5 | Capitalized Supplementary Costs (subtotal) | \$0 | 0 | | | |
| 50 series | | | | | | |
| 51 | Shipping & transportation costs | \$0 | | | | |
| 52 | Spare parts and supplies | \$0 | | | | |
| 53 | Taxes | \$0 | | | | |
| 54 | Insurance | \$0 | | | | |
| 58 | Decommissioning Costs | \$0 | | | | |
| 59 | Contingency on supplementary costs | \$0 | | | | |
| Sum 1- 5 | Overnight Construction Cost | - | | | | |
| CONT | Total contingency:accts 19+29+39+49+59 | \$213,000,000 | \$23.38 | | | |
| OVNT | Overnight cost | \$2,350,000,000 | \$257.23 | | | |
| | | | | | | |
| 6 | Capitalized Financial Costs (subtotal) | \$0 | \$0.00 | | | |
| 60 series | | | | | | |
| 61 | Escalation | \$0 | | | | |
| 62 | Fees/Royalties | \$0 | 0 | | | |
| 63 | Interest during construction | \$0 | | | | |
| 69 | Contingency on financial costs | \$0 | | | | |
| Sum 1- 6 | Total Capital Investment Cost | | | | | |
| | Total Capitalized Cost (TCIC) | \$2,350,000,000 | \$257.23 | | | |
| | Annualized Costs | | | | | |
| 7 | Annualized O&M Cost (subtotal) | \$257,000,000 | \$214.40 | | | |
| 70 series | | | | | | |
| 71 | Operations Staff | \$109,000,000 | \$90.49 | | | |
| 72 | Management Staff \$12,600,000 \$10.48 | | | | | |

| 73 | Salary-Related Costs | \$0 | \$0.00 |
|--------------|---------------------------------------|---------------|---------|
| 74 | Raw Materials | \$1,910,000 | \$1.59 |
| 75 | Spare Parts | \$0 | \$0.00 |
| 76 | Utilities, Supplies and Consumables | \$111,000,000 | \$92.34 |
| 77 | Capital Plant Upgrades | \$0 | \$0.00 |
| 78 | Taxes and Insurance | \$0 | \$0.00 |
| 79 | Contingency on O&M Cost | \$23,400,000 | \$19.49 |
| 9 | Annualized Financial Costs (subtotal) | \$0 | 0 |
| 90 series | | | |
| 91 | Escalation | \$0 | |
| 92 | Fees | \$0 | |
| 93 | Cost of Money | \$0 | |
| 99 | Contingency on Financial Costs | \$0 | |

Table C.3. Code of Accounts - Elution-Purification Area

| EMWG Acct # | Account Title | Total Cost (2010 US\$) | Specific Annual Cost (\$/kg U) |
|----------------|---|------------------------|---|
| 1 | Capitalized Pre-construction Costs (Subtotal) | \$1,630,000 | \$0.14 |
| 10 series | | | |
| 11 | Land and land rights | \$1,630,000 | \$0.14 |
| 12 | Site permits | \$0 | |
| 13 | Plant licensing | \$0 | |
| 14 | Plant permits | \$0 | |
| 15 | Plant studies | \$0 | |
| 16 | Plant reports | \$0 | |
| 17 | Other Pre-Construction Costs | \$0 | |
| 18 | Reserved for other activity as needed | \$0 | |
| 19 | Contingency on Pre-Construction Costs | \$0 | |
| 2 | Capitalized Direct Costs (Subtotal) | \$86,900,000 | \$9.02 |
| 20 series | | | |
| 21 | Structures and Improvements | \$23,700,000 | \$2.10 |
| 23 | Process Equipment | \$48,400,000 | \$5.30 |
| 24 | Electrical equipment | \$2,470,000 | \$0.27 |

| 25 | Heat Rejection System | \$0 | \$0.00 |
|-----------|---|--------------|--------|
| 26 | Miscellaneous plant equipment | \$12,300,000 | \$1.35 |
| 27 | Special materials | \$0 | |
| 29 | Contingency on Direct Costs | \$0 | |
| Sum 1-2 | Total Direct Cost | | |
| | | | |
| 3 | Capitalized Indirect Services (Subtotal) | \$21,000,000 | \$2.30 |
| 30 series | | | |
| 31 | Field indirect costs (rentals, temp facil, etc) | \$13,100,000 | \$1.43 |
| 32 | Construction supervision | \$7,900,000 | \$0.87 |
| 33 | Commissioning and Start-Up Costs | \$0 | \$0.00 |
| 34 | Demonstration Test Run | \$0 | \$0.00 |
| Sum 1-34 | Total Field Cost | | |
| 35 | Design Services Offsite | \$0 | |
| 36 | PM/CM Services Offsite | \$0 | |
| 37 | Design Services Onsite | \$0 | |
| 38 | PM/CM Services Onsite | \$0 | |
| 39 | Contingency on Indirect Services | \$0 | |
| Sum 1-3 | Base Construction Cost | | |
| 4 | Capitalized Owner's costs (Subtotal) | \$988,000 | \$0.11 |
| 40 series | | | |
| 41 | Staff recruitment and training | \$0 | |
| 42 | Staff housing facilities | \$0 | |
| 43 | Staff salary-related costs | \$0 | |
| 46 | Other Owners' capital investment costs | \$988,000 | \$0.11 |
| 49 | Contingency on Owner's Costs | \$0 | |
| 5 | Capitalized Supplementary Costs (subtotal) | \$0 | 0 |
| 50 series | | | |
| 51 | Shipping & transportation costs | \$0 | |
| 52 | Spare parts and supplies | \$0 | |
| 53 | Taxes | \$0 | |
| 54 | Insurance | \$0 | |
| 58 | Decommissioning Costs | \$0 | |
| 59 | Contingency on supplementary costs | \$0 | |
| | Contingency on supplementary costs | ψυ | |

| CONT | Total contingency:accts 19+29+39+49+59 | \$11,100,000 | \$1.21 |
|-----------|--|---------------|---------|
| OVNT | Overnight cost | \$122,000,000 | \$12.79 |
| | | | |
| 6 | Capitalized Financial Costs (subtotal) | \$0 | \$0.00 |
| 60 series | | | |
| 61 | Escalation | \$0 | |
| 62 | Fees/Royalties | \$0 | 0 |
| 63 | Interest during construction | \$0 | |
| 69 | Contingency on financial costs | \$0 | |
| Sum 1-6 | Total Capital Investment Cost | | |
| | Total Capitalized Cost (TCIC) | \$122,000,000 | \$12.79 |
| | Annualized Costs | | |
| 7 | Annualized O&M Cost (subtotal) | \$25,700,000 | \$21.38 |
| 70 series | | | |
| 71 | Operations Staff | \$8,370,000 | \$6.98 |
| 72 | Management Staff | \$1,460,000 | \$1.22 |
| 73 | Salary-Related Costs | \$0 | \$0.00 |
| 74 | Raw Materials | \$1,610,000 | \$1.34 |
| 75 | Spare Parts | \$0 | \$0.00 |
| 76 | Utilities, Supplies and Consumables | \$8,400,000 | \$7.00 |
| 77 | Capital Plant Upgrades | \$0 | \$0.00 |
| 78 | Taxes and Insurance | \$3,490,000 | \$2.90 |
| 79 | Contingency on O&M Cost | \$2,330,000 | \$1.94 |
| 9 | Annualized Financial Costs (subtotal) | \$0 | 0 |
| 90 series | | | |
| 91 | Escalation | \$0 | |
| 92 | Fees | \$0 | |
| 93 | Cost of Money | \$0 | |
| 99 | Contingency on Financial Costs | \$0 | |

Appendix D. Additional Calculations

D.1 CORRELATION OF IMMERSION TIME, TEMPERATURE AND CAPACITY

Data from JAEA field tests enabled the correlation of immersion time and water temperature to adsorption capacity. The raw data is reported in Table D.1.

| Trial | Submersion Time (Days) | Number of Stacks | Sea Tempero | water ature (°C) | Amount of U Adsorbed (g) | Apparent Adsorbent Rate (g/(day*stack)) |
|-------|------------------------------|---------------------|----------------|---------------------|--------------------------------|---|
| | | | Min | Max | | |
| 1 | 20 | 144 | 19 | 21 | 66 | 0.023 |
| 2 | 20 | 144 | 12 | 13 | 47 | 0.016 |
| 3 | 40 | 144 | 13 | 22 | 66 | 0.011 |
| 4 | 30 | 144 | 22 | 24 | 101 | 0.023 |
| 5 | 20 | 144 | 22 | 24 | 76 | 0.026 |
| 6 | 20 | 144 | 18 | 22 | 77 | 0.027 |
| 7 | 30 | 216 | 13 | 18 | 95 | 0.015 |
| 8 | 60 | 72 | 13 | 20 | 48 | 0.011 |
| 9 | 90 | 72 | 13 | 19 | 120 | 0.019 |
| 10 | 30 | 216 | 18 | 20 | 119 | 0.018 |
| 11 | 60 | 144 | 18 | 19 | 150 | 0.017 |
| 12 | 30 | 216 | 19 | 20 | 118 | 0.018 |

Table D.1. Field Data on Uranium Adsorption³⁶

The data was used to regress a relationship between the uranium adsorbed and the duration and temperature conditions at the mooring site. Per a suggestion from M. Tamada (personal communication), the amount adsorbed was fit to a function of form

 $\mathbf{A} = \mathbf{K} \mathbf{t}^{1/2} \mathbf{T}^{\alpha} \qquad (D.1)$

where

A = amount of uranium adsorbed (kg U/t ads)

t = immersion time (days)

T = water temperature (C)

K, α = regression coefficients (inherited units)

The results of the regression are shown in Figure D.1 and the regression parameters are given in Table D.2. In this figure, $A/t^{1/2}$ is normalized against its value at T = 25 C and plotted against the temperature.



Figure D.1. Time and Temperature Dependence of Adsorption

| Parameter | Value | Standard Error | T statistic |
|-----------|--------|----------------|-------------|
| ln(K) | -4.348 | 1.306 | -3.328 |
| | 0.714 | 0.451 | 1.583 |

The regression results are used as the basic empirical equation relating uranium adsorbed to time and temperature. The square root of time relationship with adsorption is consistent with diffusion limited physical processes; the temperature component is strictly empirical.

In the analyses, the above relationship was scaled to yield adsorption capacity of 2.0 kg U/t ads at 25 C and 60 days' soaking. At these conditions, the empirical relationship yields a standard deviation of +/- 0.50 kg U/t ads. This relationship was used in the uncertainty analysis to describe the range of variation of the adsorbent performance about its expected value.

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